

## Safe Drinking Water State Revolving Fund Application for Short Term Planning Funds

Pre-Application Number: \_\_\_\_\_

### Part A. General Information

1. Name of Applicant Water System \_\_\_\_\_
2. Water System ID Number \_\_\_\_\_
3. Street Address \_\_\_\_\_
4. County \_\_\_\_\_
5. Mailing Address \_\_\_\_\_
6. Authorized Representative \_\_\_\_\_  
(Name, Title and Telephone Number)
7. Principal Contact Person \_\_\_\_\_  
(Name, Title and Telephone Number)
8. Project Engineer \_\_\_\_\_  
(Name and Address)
9. Estimated Amount of Loan Funds Requested \$ \_\_\_\_\_

## Part B. Managerial Information

### 1. Classification of Water System

- ☐ Community
- ☐ Non-transient non-community
- ☐ Transient non-community
- ☐ Irrigation district (not currently classified as a public water system)

### 2. Indicate the Ownership of the Water System

#### PUBLIC OWNERSHIP

- ☐ Municipality
- ☐ County agency
- ☐ Special district
- ☐ State agency

#### PRIVATE OWNERSHIP

(attach copy of fictitious name statement)

- ☐ Corporation
- ☐ Partnership
- ☐ Incorporated mutual
- ☐ Non-profit organization  
(ID No. \_\_\_\_\_)
- ☐ Other:  
\_\_\_\_\_

### 3. *(Public Owned Systems Only)* Attach a written opinion from your attorney answering the following questions as they pertain to this application

- a. Does the agency have the legal authority to enter into a long-term contract with the State of California, such as the Drinking Water State Revolving Fund loan program? (the legal opinion should state the maximum length of a loan the agency can enter into).
- b. Is the agency required to hold an election before entering into this type of a loan contract with the State of California?
- c. Does the agency have the legal authority to levy assessments and charges sufficient to repay a loan under the Drinking Water Revolving Fund loan program?

### 4. Is there any litigation pending relative to the operation of the water system or the proposed project?

- ☐ Yes (If **yes**, attach a description of the litigation and the potential costs).
- ☐ No

### 5. Describe the nature of the water rights applicable to your water source. (See Application Guidelines.)

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## Part C. Technical Information

1. Briefly describe the problem to be addressed by this planning study:

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2. Describe the existing service area served by the water system and provide a map of the existing service area and the location of the water system facilities.

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3. Current population served by the water system \_\_\_\_\_

4. Current number of active service connections \_\_\_\_\_

## Part D. Financial Information

1. Average current monthly residential water bill \$\_\_\_\_\_
2. Average projected increase to the monthly residential water bill as a result of this funding request.  
\$\_\_\_\_\_
3. Average projected monthly residential water bill \$\_\_\_\_\_
4. Attach the water systems' water rate structure covering each of the last three years (including commercial and industrial users.)
5. Provide a budget for the funding requested for the planning study. If contractors will be used briefly describe the process used to select the contractor and the estimated cost of each contract.
6. Identify and describe the dedicated revenue source to be used for loan repayment  
\_\_\_\_\_  
\_\_\_\_\_
7. Attach a 5-year revenue/expenditure projection for the water system
8. Provide the following information for all existing long-term indebtedness
  - a. Type of indebtedness
  - b. Name and address of creditor
  - c. Term and purpose of loan
  - d. Date of the loan
  - e. Original principal
  - f. Remaining balance
  - g. Annual repayment amount

## **Part E. Federal Cross-Cutting Requirements**

Federal “Cross-Cutting Requirements” are those provisions in federal law which “apply by their own terms” to projects and activities receiving federal financial assistance. In order to enter into a Safe Drinking Water State Revolving Fund loan agreement with the Department of Health Services each water system is required to certify that they are in compliance with each of the following federal regulatory requirements.

While some of these apply to any project utilizing federal funds, many of these will not be applicable to a short term planning study. If, however, the water system plans to seek construction funding from the SDWSRF these requirements should be reviewed to determine which, if any, will apply to the proposed construction.

### **Environmental Authorities**

1. Archeological and Historic Preservation Act of 1974, Pub. L. 86-523, as amended
2. Clean Air Act, Pub. L. 84-159, as amended
3. Coastal Barrier Resources Act, Pub. L. 97-348, as amended
4. Coastal Zone Management Act, Pub. L. 92-583, as amended
5. Endangered Species Act, Pub. L. 93-205, as amended
6. Environmental Justice, Executive Order 12898
7. Floodplain Management, Executive Order 11988 as amended by Executive Order 12148
8. Protection of Wetlands, Executive Order 11990
9. Farmland Protection Policy Act, Pub. L. 97-98
10. Fish and Wildlife Protection Coordination Act, Pub.L. 85-624, as amended
11. National Historic Preservation Act of 1966. Pub. L. 89-665, as amended
12. Safe Drinking Water Act, Pub. L. 93-523, as amended
13. Wild and Scenic Rivers Act, Pub. L. 90-542, as amended

### **Economic and Miscellaneous Authorities**

1. Demonstration Cities and Metropolitan Development Act of 1966 PL 89-754, as amended
2. Procurement Prohibitions under Section 306 of the Clean Air Act and Section 508 of the Clean Water Act, including Executive Order 11738 Administration of the Clean Air Act and the Federal Water Pollution Control Act with Respect to Federal Contracts, Grants and Loans
3. Uniform Relocation and Real Property Acquisition Policies Act, Pub. L. 91-646, as amended
4. Debarment and Suspension, Executive Order 12549

### **Social Policy Authorities**

1. Age Discrimination Act of 1975, Pub. L. 94-135
2. Title VI of the Civil Rights Act of 1964, Pub. L. 88-352
3. Section 13 of the Federal Water Pollution Control Act Amendments of 1972, Pub. L. 92-5200 (the Clean Water Act)
4. Section 504 of the Rehabilitation Act of 1973, Pub. L. 93-112 (including Executive Orders 11914 and 11250)
5. Equal Employment Opportunity, Executive Order 11246
6. Women's Minority Business Enterprise, Executive Orders 11625, 12138 and 12432
7. Section 129 of the Small Business Administration Reauthorization and Amendment Act of 1988, Pub. L. 100-590

### **CERTIFICATION**

I certify that \_\_\_\_\_  
Name of Water System or Municipality

**has, or will** comply with the above list of federal laws and authorities.

\_\_\_\_\_  
Signature of Authorized  
Representative or Designee

\_\_\_\_\_  
Date

## PART F. ATTACHMENTS TO APPLICATION

Following is a list of documents, reports and other information which is necessary to process this application. Not all the information list below is required for all water systems. Please review the funding application and application guidelines carefully. If you are not sure if the requested information applies to your water system please contact your district engineer. Incomplete applications will not be process until all required information has been provided to the district.

To assist us in timely reviewing your application, please make sure your water system name and the pre-application number are on every attachment. Please label the attachment with the number of the application section which requests the information (i.e. "Part A. No 6").

### Attachments:

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|----|----------------------|---|
| a) | <u>Part A. No. 6</u> | A resolution or resolutions from the water system's governing body providing the following (as applicable): <ul style="list-style-type: none"><li>• Resolution designating the authorized representative and authorizing that individual to apply for a DWSRF loan (all systems)</li><li>• Resolution or ordinance dedicating repayment source<br/><i>(not required at time of application will be required prior to execution of loan agreement)</i></li></ul> |
| b) | <u>Part B. No. 3</u> | Attorney opinion <i>(Public Owned Systems Only)</i>   |
| c) | <u>Part B. No. 4</u> | Description of pending litigation, and the potential costs  |
| d) | <u>Part B. No. 5</u> | Water rights documentation  |
| e) | <u>Part C. No 2</u>  | Map of service area and location of water system facilities.  |
| f) | <u>Part D. No .5</u> | Water system rate structure for last three years include a description of the calculation for the average household water rate  |
| g) | <u>Part D. No.6</u>  | Project Budget Sheet  |
| h) | <u>Part D. No. 7</u> | Five year revenue and expenditure projection for the water system   |
| i) | <u>Part D. No. 8</u> | Description of all long term indebtedness   |
| j) | <u>Part E.</u>       | Certification of compliance with federal cross-cutting requirements   |